

CSCE 313-200

Introduction to Computer Systems

Spring 2025

Threads

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Updates

- Quiz on Thursday
 - System Programming Tutorial (pay attention to exercises)
 - Pointers, VS debugging tools/strategies, APIs
 - Common Microsoft data types
 - The last two lectures (OS concepts, processes)
- Common issues in hw1p1
 - Not waiting for CC.exe to exit
 - Printing room with %X instead of %IX
 - Not handling CC errors in ResponseCC::status
- Make sure to check for API errors
 - Catches bugs sooner, simplifies debugging

Chapter 4: Roadmap

4.1 Processes and threads

4.2 SMP

4.3 Micro-kernels

4.4 Windows threads

4.5 Solaris threads

4.6 Linux threads

Part II

Chapter 3: Processes

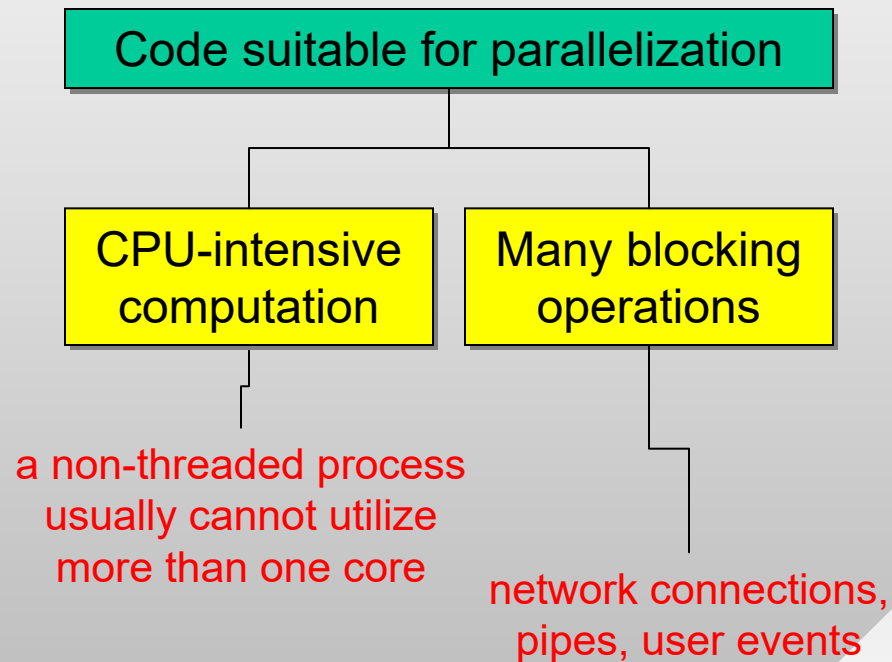
Chapter 4: Threads

Chapter 5: Concurrency

Chapter 6: Deadlocks

Motivation

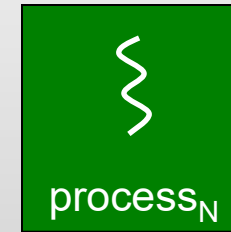
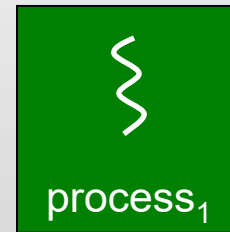
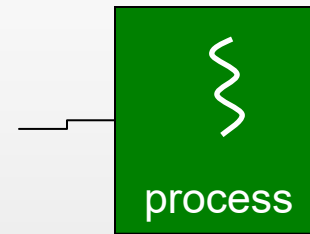
- Why parallelize a single program?
- Two main reasons
 - Take advantage of multi-core CPU capacity
 - Perform many concurrent blocking operations quickly
- While non-blocking I/O helps with the second issue, it doesn't solve the first one
 - Also makes code more complex



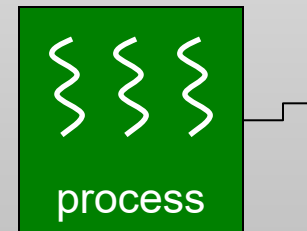
Taxonomy

- Why not fork a new process then?
- Two main issues:
 - Frequent process context switch is expensive
 - Data sharing may be inefficient (i.e., through kernel) and possibly tedious to program
- Thus, there is a need for a simpler/faster concurrency model that uses threads
 - **Thread** is a **dispatchable unit of work within a process**

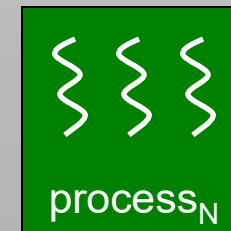
single process, single thread (MS-DOS)



multiple processes, single thread (old Unix)



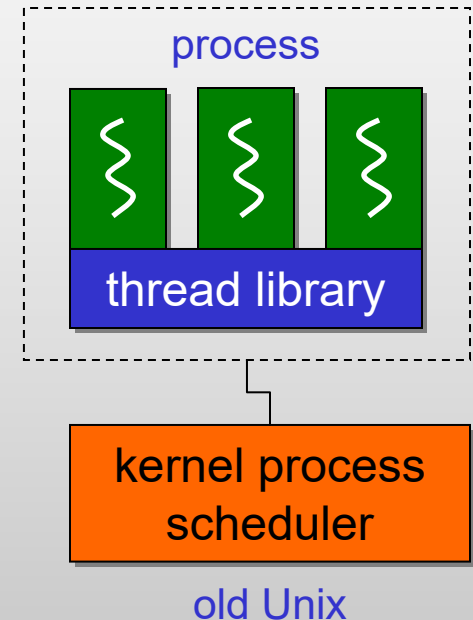
single process, multiple threads (user library over unprogramming OS)



multiple processes, multiple threads (modern OSes)

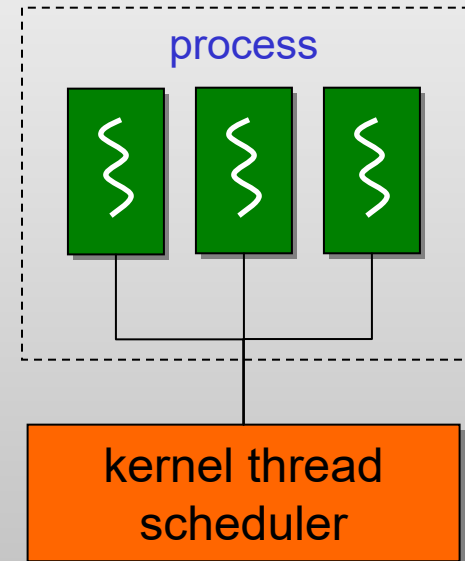
How to Implement Threads

- Historically, threads didn't exist in multi-tasked OSes
 - Users wrote special libraries to emulate threads
 - OS scheduled the process, then library scheduled threads
- Benefits of **User-Level Threads (ULT)**:
 - Thread switch completely in user mode (i.e., fast)
 - Control over scheduler and its policy
 - Portability of code (no dependency on OS APIs)
- Problems:
 - When kernel APIs block, the entire process is blocked
 - No ability to run concurrently on multiple CPUs



How to Implement Threads

- Later, OSes became thread-aware and offered **Kernel-Level Threads (KLT)**
 - Another term is Light Weight Processes (LWT)
- **Benefits of KLT:**
 - Multi-CPU usage by the same program; I/O blocks only threads that use it, others run unimpeded
- **Drawbacks compared to ULT:**
 - Requires kernel mode switch after each slice (lower performance)
 - Less flexibility with scheduling



Windows/Linux

Performance

- How expensive is context switch?
 - Traditional numbers suggest ULT switch is 10x faster than KLT, which is 4-5x faster than process switch
- Windows benchmark agrees with the last ratio
 - ULT rarely used on Windows, no performance results readily available

delay in microsec

Operation	ULT	KLT	Process
Create	34	948	11,300
Event wait + switch	37	441	1,840

old VAX Unix

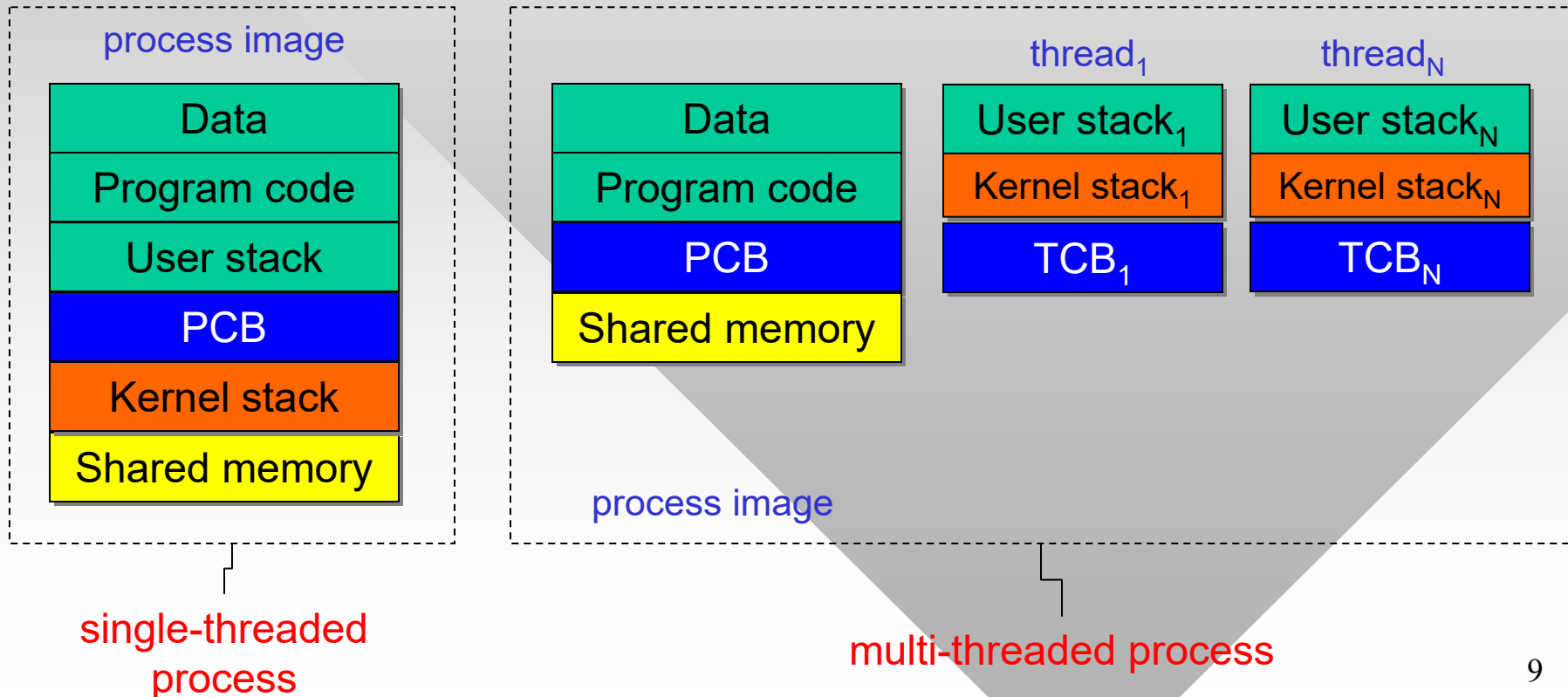
Operation	ULT	KLT	Process
Event wait + switch		0.44	2.2

AMD Phenom II X6 2.8 GHz

- While these latencies are small, they do increase as the # of threads/processes in the ready state rises

Kernel Threads

- Difference from the single-threaded model
 - Threads have separate stacks and execution context called **Thread Control Block (TCB)**, but share all virtual memory



Kernel Threads

- OS still enforces separation between processes
 - However, threads are not protected from each other
 - Buffer overflow in one thread may wipe out data of other threads in the same process
- Process owns
 - Virtual address space and shared memory
 - Security attributes of all objects (e.g., open files)
- Threads own
 - TCB that includes thread state (e.g., blocked, running, ready), thread context (registers), scheduler priorities and its auxiliary info, pending wait events
 - Execution stack (user and kernel)

Using Threads

```
typedef DWORD  
(__stdcall *LPTHREAD_START_ROUTINE)  
( [in] LPVOID lpThreadParameter );
```

- In Windows:

```
HANDLE WINAPI CreateThread (  
    __in_opt    LPSECURITY_ATTRIBUTES lpThreadAttributes,  
    __in        SIZE_T dwStackSize,  
    __in        LPTHREAD_START_ROUTINE lpStartAddress,  
    __in_opt    LPVOID lpParameter,  
    __in        DWORD dwCreationFlags,  
    __out_opt   LPDWORD lpThreadId );
```

- Security = NULL, stacksize = 0 (default), flags = 0
- Must provide the address of start function
 - Thread executes from that address
 - Current thread continues as normal
- Definition of a thread function:

```
DWORD __stdcall MyThread (LPVOID lpThreadParameter);
```

Using Threads

```
class MyExample {
public:
    int    count;
    void   Run (int threadID);
};
```

```
DWORD __stdcall ThreadStarter (LPVOID p) {
    ThreadParams *t = (ThreadParams*) p;
    t->me->Run (t->threadID);
    return 0;
}
```

```
class ThreadParams {
public:
    MyExample* me;
    int        threadID;
};
```

```
#define THREADS_TO_RUN    100
void main (void) {
    HANDLE thread [THREADS_TO_RUN];        // stores thread handles
    ThreadParams t [THREADS_TO_RUN];      // parameters passed to threads
    MyExample me;    me.count = 0;

    for (int i = 0; i < THREADS_TO_RUN; i++) {        // start a bunch of threads
        t[i].threadID = i;                            // assign seq # to this thread
        t[i].me = &me; // must pass a pointer to shared variables/classes
        // run thread with default stack size
        if ((thread [i] = CreateThread (NULL, 0, ThreadStarter, t + i, 0, NULL)) == NULL) {
            printf ("failed to create thread %d, error %d\n", i, GetLastError());
            exit (-1);
        }
    }
    for (int i = 0; i < THREADS_TO_RUN; i++) { // now hang here waiting for threads to quit
        WaitForSingleObject (thread [i], INFINITE);
        CloseHandle (thread[i]);
    }
    printf ("result = %d\n", me.count);
}
```

Using Threads

- Try to encapsulate all functionality inside your class member functions
- Local variables are never shared (they stay in thread stack)
- Global and static variables
 - Shared between threads, but they are considered bad style and thus not recommended
- Heap-allocated blocks
 - Normally not shared unless you provide a common pointer to multiple threads and they dereference it

```
void MyExample::Run (int threadID)
{
    Sleep (100);
    count ++;
    printf ("Thread %d finished\n", threadID);
}
```

```
void MyExample::Run (int threadID)
{
    int a = 4;           // local
    Sleep (100);
    a += 70;
}
```

```
int b = 3;             // global
void MyExample::Run (int threadID)
{
    static int a = 4; // static
    a += 70;
    b += 70;
}
```

Using Threads

```
void MyExample::Run (int threadID)
{
    Sleep (100);
    count ++;
    printf ("Thread %d finished\n", threadID);
}
```

- Thread execution is **non-deterministic**
 - Threads can be interrupted at any time
 - Speed of execution may differ by any factor
- Make sure each thread gets its own copy of ThreadParams to avoid problems like this:

```
ThreadParams t;
t.me = &me;

for (int i = 0; i < THREADS_TO_RUN; i++) {           // start a bunch of threads
    t.threadID = i;                                  // assign # to this thread
    if ((thread [i] = CreateThread (NULL, 0, ThreadStarter, &t, 0, NULL)) == NULL) {
        printf ("failed to create thread %d, error %d\n", i, GetLastError());
        exit (-1);
    }
}
```

all threads may get their threadID = THREADS_TO_RUN-1

Chapter 4: Roadmap

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4.3 Micro-kernels

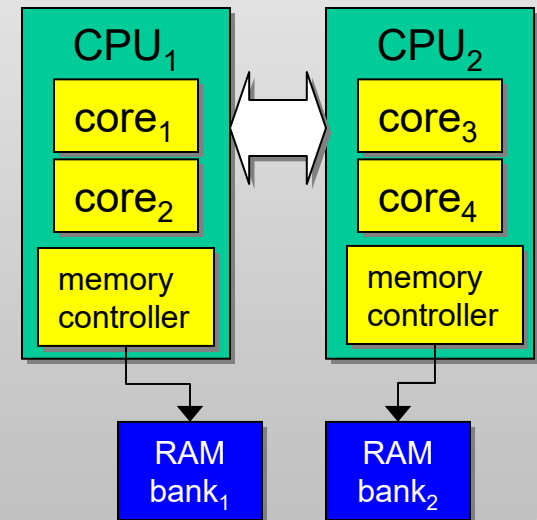
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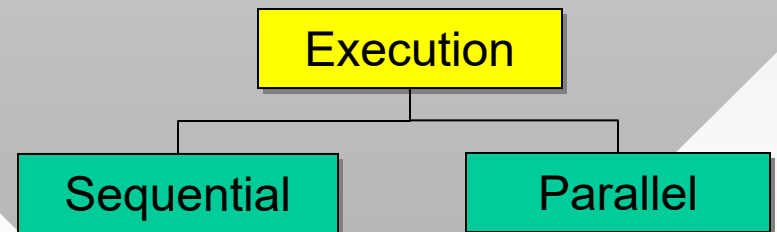
SMP

- SMP (Symmetric Multi-Processing)
 - Consists of multiple **CPUs** connected by **bus** (e.g., HyperTransport in AMD)
 - Each CPU contains multiple **cores** and dedicated memory controller
- SMP benefits:
 - Performance, ease of coding
 - Availability (e.g., failure of some CPUs does not have to crash the system)
 - Scalability (e.g., more CPUs can be added to an existing motherboard if it supports them)



SMP

- CPU clock speed no longer scales due to insurmountable heat problems
 - Scaling cores is much easier at this stage
- Consumer-grade computers today
 - Intel Xeon w/56-cores, 8-CPU configurations (448 cores per motherboard), Intel Phi expansion card w/60 cores
 - CUDA (nVidia Titan) video cards with 5000+ cores
- Evolution of computer architecture:
 - **Sequential computers** had a single CPU
 - Traditional 1940s-1950s mainframes



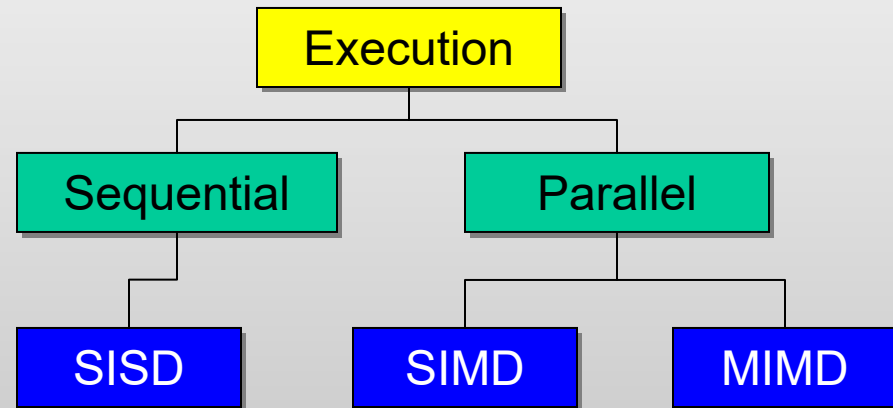
SMP

- Notation:

- S = single, M = multiple
- I = instruction, D = data

- Level 1

- **SISD**: single core, no internal parallelism
- **SIMD**: single core, can run the same instruction on multiple RAM locations in parallel (e.g., video cards, SSE, MMX, AVX)
- **MIMD**: different instructions on different data (i.e., multiple cores)
- **MISD**: rarely implemented



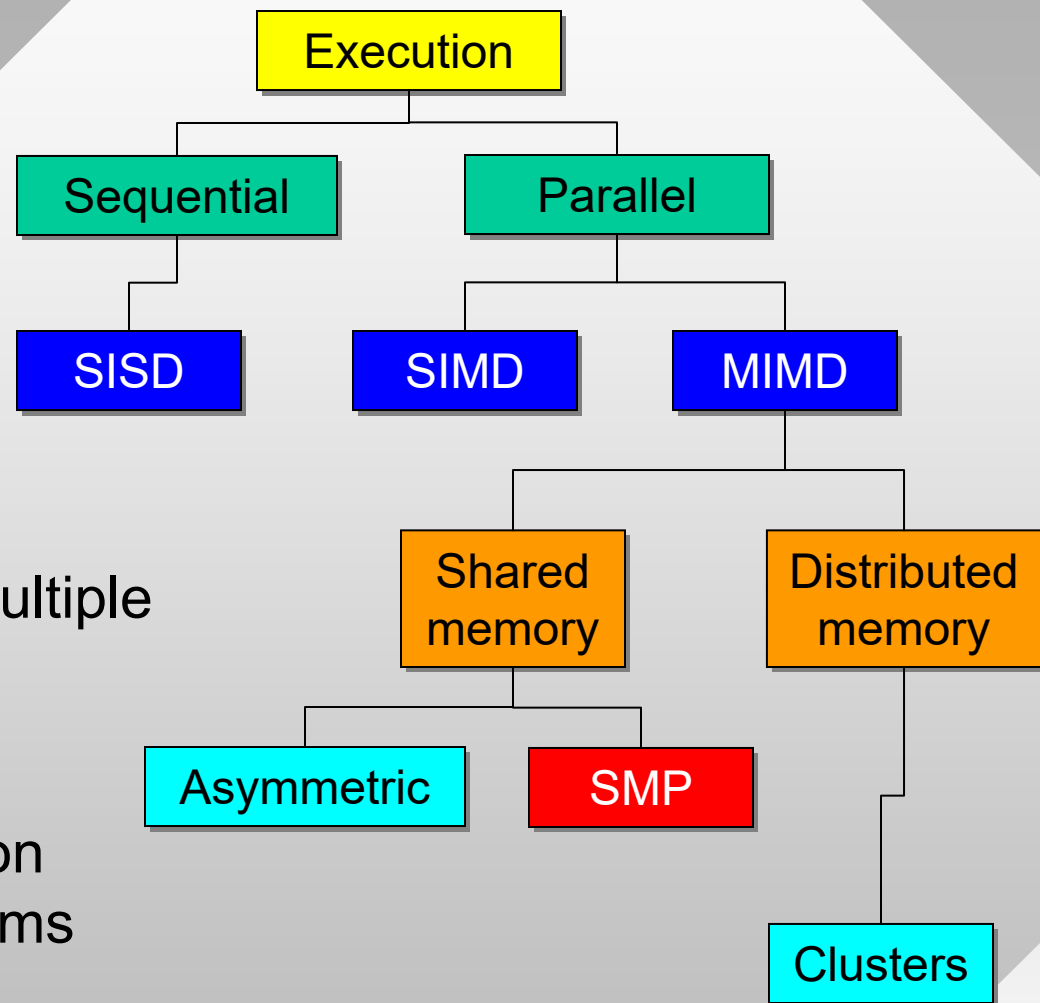
SMP

- Level 2:

- **Shared memory:** single motherboard
- **Distributed memory:** multiple computers

- Level 3:

- **Asymmetric:** OS runs on dedicated core, programs run everywhere else
- **SMP:** OS and programs share all cores (modern computers and kernels) ← **this course**
- **Clusters:** racks of servers, possibly geographically distributed in datacenters



Wrap-up

- Cache coherence issues affect consistency and performance when multiple threads modify the same RAM location

